

Privacy Policy

At Steinberg Asset Management, LLC (“SAM”) protecting confidentiality and privacy of client information is a priority. In order to provide you with quality service, SAM collects certain non-public personal information to establish and maintain client accounts such as account number, address and social security number. SAM also collects information about client transactions it arranges in client accounts such as purchases, sales and account balances. SAM does not sell client lists or information about clients or former clients to anyone. Neither does SAM disclose any nonpublic personal information about clients, except as permitted or required by law and in order for SAM to service client accounts and undertake securities transactions in the normal course of business. For example, SAM may disclose the client information to brokers, custodians or other companies that perform administrative services. SAM limits access to client information to authorized employees or agents and maintains physical, electronic and procedural safeguards to protect client information against loss, misuse, damage or modification and unauthorized access or disclosure. Some of the features of SAM’s safeguards include: (i) utilization of specialized technology such as firewalls, (ii) monitoring of SAM’s system infrastructure to detect weaknesses and potential intrusions; (iii) implementation and maintenance of controls to identify, authenticate and authorize access to various systems or sites; (iv) protection of information during transmission through various means including, where appropriate and practical, encryption; and (v) provision of relevant training to SAM personnel and updating of SAM’s security practices in light of new risks and developments in technology.